

Yan Yean Road Upgrade – Stage 2 North Package

Compliance Audit Report #1

18 March 2026

Official



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Document title

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Package Compliance Audit #1

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18 March 2026

Prepared by

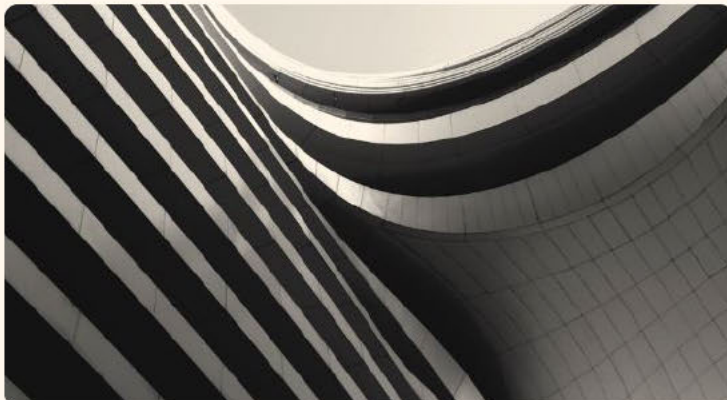
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Executive Summary

Nation Partners Pty Ltd (Nation Partners) is the Independent Environmental Auditor (IEA) for Yan Yean Road Upgrade – Stage 2 (the Project), pursuant to the Environmental Management Framework (EMF) endorsed by the Minister for Planning.

The Compliance Audit, a requirement of the EMF, was conducted by the IEA to assess compliance of Decmil (the Contractor) and the Victorian Infrastructure Delivery Authority (VIDA) Roads, with relevant requirements of the EMF, relevant Environment Performance Requirements (EPRs), Construction Environmental Management Plan (CEMP), Site Environment Plans (SEPs) and other plans as required by the EPRs and conditions of Project approvals.

The IEA adopted a risk-based approach to conducting the Compliance Audit, founding in the guiding principles of *AS/NZS 19011: 2019 – Guidelines for Auditing Management Systems*.

The Compliance Audit #1 was conducted on 18 and 19 February 2026, with the closing meeting held on 20 February 2026.

The Compliance Audit methodology comprised:

- Site visit to observe Decmil’s activities at the Project work site (Zones A through D and Yarrambat Golf Course);
- Document review and personnel interviews undertaken at the locations detailed above and remotely via MS Teams; and
- Reporting, which describes the audit findings (this report).

This is an exceptions-basis report only. Detail is only provided against compliance requirements were non-compliances (major and minor) as well as opportunities for improvement have been identified through the Compliance Audit.

The findings of the Compliance Audit were categorised in accordance with the following:

- **Compliant** – The evidence demonstrated that the criteria under consideration had been met.
- **Opportunity for Improvement (OFI)** – The evidence demonstrated that the criteria under consideration had been met, but in future, there was an opportunity to provide greater clarity of demonstration that the criteria had been met.
- **Minor non-compliance (Minor NC)** – The evidence demonstrated that the criteria had been partially met, but did not fully meet the relevant requirement.
- **Major non-compliance (Major NC)** – The evidence either demonstrated that the criteria had not been met, or there was an absence of evidence demonstrating that the criteria had been met. As such, the corresponding aspect of the requirement was not met.

Qualitative risk ratings for each non-compliance finding were also assigned by conducting a risk assessment consistent with *AS/NZS ISO19011:2019* to ensure consistent approach across the Project.

Project activities were found to be compliant with 25 of the 30 audited EPRs covered within the scope of this Compliance Audit as summarised in Table ES.1. Eight (8) findings, including nine (8) Minor NCs, and two (2) OFIs were observed during the audit, as summarised in Table ES.1.

Table ES.1: Compliance status of EPR topics subject to audit (as per the Audit Plan)

Topic	Criteria	Compliance Status
Environmental Management Framework	• EPRs EMF1 – EMF5 • CEMP • Site Environmental Plans	Compliant with the exception of two (2) Minor NCs, relating to: • Outcomes of the pre-commencement audit having not been published on the VIDA Roads website as required by the EMF • Commencement of project works prior to verification of all project documents by the IEA as required by the EMF

Topic	Criteria	Compliance Status
		One (1) OFI was identified relating to: Limited or inconsistent detail in arboriculture and ecology project reports to demonstrate adequate assessment and management of environmental risks
Aboriginal Cultural Heritage	- EPRs ACH1 - Heritage Management Plan	Compliant
Arboriculture	- EPRs AR1 – AR4 - Tree Protection Management Plan	Compliant with the exception of three (3) Minor NCs, relating to: - Storage of materials within TPZ fencing, and TPZ fencing signage at the Yarrambat Public Golf Course compound not being in accordance with requirements of the TPMP - Discrepancies observed between installed TPZ fencing and the requirements of the TPMP - Arboriculture documentation for the Yarrambat Public Golf Course compound not meeting the requirements of AR2 to prepare and implement a TPMP
Ecology	- EPRs E1 – E7, E9 – E11 - Flora and Fauna Management Plan - Landscape Management Plan	Compliant with the exception of three (3) Minor NCs, relating to: - Signage and fauna egress required by the FFMP not in place at the Yarrambat Public Golf Course - Fencing at the Yarrambat Public Golf Course compound not meeting the requirements for 'fauna friendly fencing' as per the FFMP - Specialised advice having not yet been implemented relating to management response for risks relating to kangaroos as described in the KMP
Historic Heritage	- EPRs HH1 and HH2 - Heritage Management Plan	Compliant
Noise and Vibration	- EPRs NV1 and NV2 - Construction Noise and Vibration Management Plan	Compliant
Social	- EPRs S1 – S3 - Communications and Stakeholder Engagement Management Plan	Compliant
Surface Water	- EPRs SW1 and SW2 - Water Quality Management Plan - Erosion and Sediment Control Management Plan	Compliant. - One (1) OFI was identified relating to: - Opportunity to improve clarity in the Water Quality Management Plan to enable a consistent approach to surface water monitoring
Vegetation	- EPR V1 - CEMP - Flora and Fauna Management Plan	Compliant

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Version history

Version	Status	Changes	Date	Authorised
0.1	Draft	N/A – first issue	4 March 2026	
1.0	Final	Update following receipt of VIDA and Contractor comments	18 March 2026	

1 Introduction

Nation Partners Pty Ltd (Nation Partners) is the Independent Environmental Auditor (IEA) for Yan Yean Road Upgrade – Stage 2 (the Project), pursuant to the Environmental Management Framework (EMF) endorsed by the Minister for Planning.

As stated within EMF Section 4 (Roles and Responsibilities), the IEA is responsible for conducting audits of Contractor works (i.e. Decmil) to assess compliance with the EMF, relevant Environmental Performance Requirements (EPRs), Construction Environmental Management Plan (CEMP) and any other plans required by the EPRs, conditions of Project approvals and as required by the Victorian Infrastructure Delivery Authority Roads (VIDA Roads) (hereafter referred to as the Compliance Audit). Following an initial Compliance Audit (i.e. this audit) scheduled at the three-month post construction commencement as requested by VIDA Roads, Compliance Audits are undertaken on a six-monthly basis across construction activities associated with the Project.

The scope and activities associated with the audit were summarised in an Audit Plan, which was provided to Decmil and VIDA Roads prior to the audit (refer to **Appendix A**)

This report presents the findings associated with Compliance Audit #1 for the Yan Yean Road Upgrade – Stage 2 North Package, which was conducted on 18 and 19 February (with a closing meeting conducted on 20 February 2026), and provides the:

- Scope and approach adopted by the IEA in undertaking the Compliance Audit and the audit attendees;
- Site activities and operations being undertaken on the sites visited by the IEA;
- Compliance audit findings and OFIs arising from this audit; and,
- Limitations (if any) associated with the Compliance Audit

2 Compliance audit approach and scope

2.1 Scope

The Compliance Audit was conducted using a risk-based approach, in accordance with *AS/NZS ISO 19011 Guidelines for auditing management systems*, which has been applied to determine the scope of each audit with respect to the locations and activities subject to site visits and the criteria to be assessed.

Applying this risk-based approach, the scope of the audit was informed by (but not limited to):

- Discussions with VIDA Roads and Decmil with respect to potential risks associated with the Program at the time the audit was due to be conducted; and,
- Current activities and operations.

The scope of the Compliance Audit was determined and agreed by relevant stakeholders with a draft Audit Plan issued for comment prior to finalisation of the agreed scope.

2.1.1 Site Visit

A risk-based approach was applied to determine locations subject to a site visit during the Compliance Audit. The locations visited during the Compliance Audit included Zones A, B, C¹ and E² of the Project works site located on Yan Yean Road, Doctors Gully Road and Bridge Road in Doreen, and at Yarrambat Park Public Golf Course. Works were not taking place within Zone D at the time of the audit.

The sites were selected as they provide:

- A representative sample of the locations in which construction activities were being undertaken at the time of the audit.
- Locations where either construction activities were considered to represent a higher risk of impact to the environment (e.g. noise and vibration or contaminated land) or where construction activities were being undertaken in proximity to sensitive environmental areas (e.g. residential areas, watercourses, areas of Aboriginal or historical heritage, sensitive ecological areas).

On the day of the site visits, construction activities were active at Zones A through C associated with earth moving and earthworks. No works were taking place at Zone D or at the Yarrambat Park Public Golf Course however the IEA was able to observe both locations.

2.1.2 Audit topics and criteria

The scope of the Compliance Audit with respect to topics and criteria is detailed in Table 2.1.

Table 2.1: Compliance audit topics and criteria scope

Topic	Criteria
Environmental Management Framework	• EPRs EMF1 – EMF5 • CEMP • Site Environmental Plans
Aboriginal Cultural Heritage	• EPRs ACH1 • Heritage Management Plan

¹ Zones A, B and C are those shown in the respective Site Environmental Plans dated 16 February 2026 which were provided to the IEA during the audit

² Zones E is as shown in the Site Environmental Plan dated 17 December 2025 which was provided to the IEA during the audit.

Topic	Criteria
Arboriculture	• EPRs AR1 – AR4 • Tree Protection Management Plan
Ecology	• EPRs E1 – E7, E9 – E11 • Flora and Fauna Management Plan • Landscape Management Plan
Historic Heritage	• EPRs HH1 and HH2 • Heritage Management Plan
Noise and Vibration	• EPRs NV1 and NV2 • Construction Noise and Vibration Management Plan
Social	• EPRs S1 – S3 • Communications and Stakeholder Engagement Management Plan
Surface Water	• EPRs SW1 and SW2 • Water Quality Management Plan • Erosion and Sediment Control Management Plan
Vegetation	• EPR V1 • CEMP • Flora and Fauna Management Plan

An overview of all EPRs covered within the scope of the IEA Compliance Audit program is provided in Appendix B.

2.1.3 Approach

The approach undertaken for the Compliance Audit (as documented in the Audit Plan) comprised:

- **Audit Plan** – an Audit Plan was developed to guide the audit. As per AS/NZS ISO 19011:2019, the audit plan defined and communicated the objectives, scope and criteria for the audit. The audit plan was developed to the satisfaction of VIDA Roads and provided to Decmil to enable logistics for the audit to be arranged (Refer to Appendix A for the Audit Plan).
- **Opening Meeting** – (conducted on 18 February 2026) attended by VIDA Roads and Decmil, which included an overview of the scope of the Compliance Audit, and an introduction to those involved in the audit, including both the audit team, and VIDA Roads and Decmil personnel (i.e. auditees). This meeting was conducted to outline the objectives, purpose, scope and logistics of the audit.
- **Document review** - review of documentation to determine if activities subject to the assessment met the associated requirements and inform audit preparation. It is noted that documents were accepted by the IEA prior to, during and post the audit up until Monday 23 February 2026 as part of the audit evidence, with the IEA considering only those records that had been produced prior to that date in accordance with normal business practices, as relevant audit evidence.
- **Personnel Interviews** - interviews with appropriate personnel was also an integral component of the audit. Informal interviews were undertaken throughout the Compliance Audit (e.g., concurrently with document review and site walkovers) to collect information and anecdotal evidence with respect to the subject area being assessed.
- **Site visits/walkovers** (conducted on 18 February 2026) – in addition to the above activities, information and evidence was also gathered during visits to Project sites, which involved observations made during site walkover. The Compliance Audit included visits to those sites listed within Section 2.1.1 Site Visit.
- **Close out meeting** (conducted on 20 February 2026) – a summary out-brief of the observations from the Compliance Audit (refer to Section 2.3.2 for list of attendees). The meeting purpose was to summarise the outcomes of the audit, raise awareness of the auditors' initial conclusions (in advance of report preparation) and provide an open forum for discussion and resolution of issues.

2.2 Reporting

This Report describes the audit findings (refer to Section 3) and includes information on:

- Activities subject to the audit (refer to Section 2.1));
- Non-conformity against the audit criteria to inform audit findings;
- The pathway and evidence trail used to inform the audit findings (refer to Appendix C for a list of the documents reviewed by the IEA during the audit);
- Audit findings (refer to Section 3); and,
- Limitations (refer to Section 4).

In accordance with *AS/NZS ISO19011:2019*, qualitative scales were used to categorise audit findings (Table 2.2).

Table 2.2: Compliance Audit finding categories

Audit finding categories	Definition
Compliant	The evidence demonstrated that the criteria under consideration had been met. This is an exceptions-basis only report, and details of complaint items are not provided.
Opportunity for Improvement (OFI)	The evidence demonstrated that the criteria under consideration had been met, but in future, there was an opportunity to provide greater clarity of demonstration that the criteria had been met.
Minor Non-compliance (Minor NC)	The evidence demonstrated that the criteria had been partially met, but did not fully meet the relevant requirement.
Major non-compliance (Major NC)	The evidence either demonstrated that the criteria had not been met, or there was an absence of evidence demonstrating that the criteria had been met. As such, the corresponding aspect of the requirement was not met.

Environmental risk ratings for each non-compliance finding have been assigned a qualitative risk rating by the IEA, applying the ratings described in the Yan Yean Stage 2 – Road Upgrade Environmental Effects Statement (EES) Attachment III: Environmental Risk Report.

This process is aligned with the methodology described in *ISO31000:2018 – Risk Management – Principles and Guidelines*, *AS ISO31010: 2019 – Risk Assessment Techniques*, and Victorian EPA Publication 1695.1 Assessing and controlling risk. The risk assessment for each finding considered the likelihood (i.e. the chance of an event happening and the maximum credible consequence occurring from that event) and consequence (i.e. the maximum credible outcome of an event affecting an asset, value or use) of an event occurring.

Recommendations to address Compliance Audit findings are not included within the scope of this audit and must be determined, implemented, and tracked to closure by Decmil and/or VIDA Roads. The IEA will assess closure of actions, through provision of documentary evidence from Decmil and/or VIDA Roads prior to each audit and again prior to the preparation of the 6-monthly audit summary report.

2.3 Audit personnel

2.3.1 Audit team

The members of the audit team, and their roles, are presented in Table 2.3.

Table 2.3: Auditors (IEA)

Name	Role
[REDACTED]	Lead Auditor
[REDACTED]	Auditor

Name	Role
[REDACTED]	Specialist Auditor – Arboriculture

2.3.2 Auditees

Decmil and VIDA Roads personnel involved in, and attending, the audit are included in Table 2.4.

Table 2.4: Auditees

Name	Role and Company
[REDACTED]	Environmental Manager, VIDA Roads
[REDACTED]	Senior Environmental Advisor, VIDA Roads
[REDACTED]	Senior Manager Environment & Sustainability, VIDA Roads
[REDACTED]	Land, Planning and Environment Intern, VIDA Roads
[REDACTED]	Senior Project Engineer, VIDA Roads
[REDACTED]	Senior Environment & Sustainability Advisor, Decmil
[REDACTED]	Environment & Sustainability Manager, Decmil
[REDACTED]	EPR Support, Decmil
[REDACTED]	Project Director, Decmil

3 Compliance and findings

3.1 Current Compliance Audit Findings

The IEA considered that Project activities were compliant with 25 of the 30 EPRs covered by the scope of the IEA Compliance Audit conducted on 18 and 19 February 2026 as outlined in Table 3.1. Eight (8) Minor NCs and two (2) OFIs were identified during the audit. Full details pertaining to audit findings, along with assigned risk ratings are provided in Table 3.2, and details of OFIs are provided in Table 3.3 (refer to Section 2.1.3 for description of methodology adopted). The IEA notes that there were no limitations on our conclusions or to the deployment of the full scope of the audit as planned.

Table 3.1: Compliance status of topics and criteria subject to audit (as per Audit Plan and additionally assessed during the course of the audit)

Topic	Criteria	Compliance Status
Environmental Management Framework	- EPRs EMF1 – EMF5 - CEMP - Site Environmental Plans	Compliant with the exception of two (2) Minor NCs, relating to: - Outcomes of the pre-commencement audit having not been published on the VIDA Roads website as required by the EMF - Commencement of project works prior to verification of all project documents by the IEA as required by the EMF One (1) OFI was identified relating to: - Limited or inconsistent detail in arboriculture and ecology project reports to demonstrate adequate assessment and management of environmental risks
Aboriginal Cultural Heritage	- EPRs ACH1 - Heritage Management Plan	Compliant
Arboriculture	- EPRs AR1 – AR4 - Tree Protection Management Plan	Compliant with the exception of three (3) Minor NCs. <u>Minor NCs</u> - Storage of materials within TPZ fencing, and TPZ fencing signage at the Yarrambat Public Golf Course compound not being in accordance with requirements of the TPMP - Discrepancies observed between installed TPZ fencing and the requirements of the TPMP - Arboriculture documentation for the Yarrambat Public Golf Course compound not meeting the requirements of AR2 to prepare and implement a TPMP
Ecology	- EPRs E1 – E7, E9 – E11 - Flora and Fauna Management Plan	Compliant with the exception of three (3) Minor NCs. <u>Minor NCs</u>

Topic	Criteria	Compliance Status
	Landscape Management Plan	- Signage and fauna egress required by the FFMP not in place at the Yarrambat Public Golf Course - Fencing at the Yarrambat Public Golf Course compound not meeting the requirements for 'fauna friendly fencing' as per the FFMP - Specialised advice having not yet been received nor implemented relating to management response for risks relating to kangaroos as described in the KMP
Historic Heritage	- EPRs HH1 and HH2 - Heritage Management Plan	Compliant
Noise and Vibration	- EPRs NV1 and NV2 - Construction Noise and Vibration Management Plan	Compliant
Social	- EPRs S1 – S3 - Communications and Stakeholder Engagement Management Plan	Compliant
Surface Water	- EPRs SW1 and SW2 - Water Quality Management Plan - Erosion and Sediment Control Management Plan	Compliant. One (1) OFI was identified relating to: Opportunity to improve clarity in the Water Quality Management Plan to enable a consistent approach to surface water monitoring
Vegetation	- EPR V1 - CEMP - Flora and Fauna Management Plan	Compliant

Table 3.2: Audit findings

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
1	Environmental Management	- Environmental Management Framework - EPR EMF2	EPR EMF 2 requires that ' <i>Before approval, the CEMP and other relevant plans are to be reviewed by the Independent Environmental Auditor and that audit must be published on the MRPV website once approved</i> '. The IEA provided record of a completed 'pre-commencement audit' to VIDA Roads on 19	Minor NC	Low (Likelihood: Unlikely) (Consequence: Minor)

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
			December 2025 confirming that the CEMP and other plans were reviewed by the IEA. At the time of this Compliance Audit, the pre-commencement audit record had not yet been published on the VIDA Roads website.		
2	Environmental Management	- Environmental Management Framework - EPR EMF4 - EPR AR2	EPR EMF 4 states an IEA is required to be appointed to ‘<i>Prior to commencement of relevant works, review the Contractor’s systems and plans to ensure they are adequate for compliance with this EMF, relevant EPRs, CEMP, and any other plans required by the EPRs, and conditions of Project approvals</i>’ The IEA has reviewed correspondence from VIDA Roads to Decmil indicating ‘no objection [to] tree clearing commencing in Zone C as scheduled on Monday 8 December 2025’ and understands from Decmil that tree clearing commenced on 8 December 2025. The Tree Impact Assessment (TIA) and Tree Protection Management Plan (TPMP) required by EPR AR2 were not verified by the IEA until 15 and 18 December 2025, respectively. Additionally, works undertaken at Yarrambat Golf Course were not addressed in a verified TPMP nor Arboricultural Impact Assessment (AIA) prior to commencement.	Minor NC	Medium (Likelihood: Possible) (Consequence: Moderate)
3	Arboriculture	- EPR AR2 - Tree Protection Management Plan	EPR AR2 requires development and implementation of a TPMP which details tree protection measures informed by a TIA. Page 5 of the Project TPMP defines the requirements of tree protection zones (TPZs), including the requirement for signage, and that equipment and material storage must not take place within a TPZ without prior consultation and agreement of the Project Arborist. The IEA observed instances of non-compliance with the TPMP, such as materials located within the TPZ at the Doreen Road River Red Gums, and signs not installed on TPZ fencing at the Yarrambat Public Golf Course compound.	Minor NC	Low (Likelihood: Unlikely) (Consequence: Minor)
4	Arboriculture	- EPR AR2 - Tree Protection Management Plan	EPR AR2 requires development and implementation of a TPMP which details all TPZs and all tree protection fenced off areas. The IEA observed discrepancies between installed tree protection fencing at Tree #1265 (Doreen River Red Gum) and Tree #5639 (Doreen Six) and the respective Tree Protection Plans (TPPs) within the TPMP (Sheet 4: North,	Minor NC	Low (Likelihood: Possible) (Consequence: Minor)

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
			Preparation Stage and Sheet 4: East, Preparation Stage), where TPZ fencing was smaller in extent than required in the TPPs.		
5	Arboriculture	- EPR AR2 - Tree Protection Management Plan	EPR AR2 requires development and implementation of a TPMP prior to construction commencing. The IEA observed that works had commenced at the Yarrambat Park Public Golf Course and was supplied a Preliminary Tree Assessment and Design Review report for works. These documents do not contain a TPMP to guide tree protection as required by EPR AR2. The IEA additionally observed trees at the Yarrambat Park Public Golf Course lacking tree protection fencing, and instances of soil disturbance and stockpiles located close to trees without protection fencing.	Minor NC	Medium (Likelihood: Possible) (Consequence: Moderate)
6	Ecology	- EPR E6 - Flora and Fauna Management Plan - Kangaroo Management Plan	EPR E6 requires egress points for fauna (particularly kangaroos) in construction fencing, and Section 8.2.5 of the Flora and Fauna Management Plan (FFMP) requires wildlife signage throughout the Project area. The IEA observed evidence of kangaroos having entered the Yarrambat Public Golf Course compound, and that the closed compound gates were the only egress points for fauna (such as kangaroos) and that the fauna exclusion fencing was inadequate, particularly along the skirting. There are no project contact details or signage on the temporary fencing in the event of an animal trapped within the fencing construction area at the golf course.	Minor NC	Low (Likelihood: Unlikely) (Consequence: Minor)
7	Ecology	- EPR E5 - Flora and Fauna Management Plan - Kangaroo Management Plan - Swift Parrot Management Plan	EPR E5 requires use of fauna friendly fencing where possible, and Section 8.2.6 of the Flora and Fauna Management Plan (FFMP) codifies this as a requirement for the Project per 'fauna friendly fencing', including installation of skirting, kangaroo-appropriate fencing materials and heights, and orange bunting to increase visibility for kangaroos and Swift Parrot. Fauna friendly fencing was generally installed in accordance with the FFMP requirements and observed to be in excellent condition across the Project works areas, however the IEA observed a skirting on the fencing at the Yarrambat Public Golf Course compound in need of repair, and a section where bunting was missing at the top of the fencing.	Minor NC	Low (Likelihood: Possible) (Consequence: Minor)
8	Ecology	- EPR E5 - EPR E6	The Kangaroo Management Plan (KMP) operates in conjunction with the FFMP which together specify that pre-works, the Project install permanent	Minor NC	Medium (Likelihood: Possible)

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
		- Flora and Fauna Management Plan - Kangaroo Management Plan	and temporary signage to alert road users to wildlife collision risks and specifically: - During works, the Project is to trial variable reduced speed limits when kangaroos are most active (dawn/dusk). - Animal Detection and Driver Warning System (AD/DWS) were also recommended in conjunction with exclusion fencing to reduce kangaroo-vehicle collisions. - Permanent signage, with signs for injured wildlife, is also to be installed throughout the Project Area during construction to alert road users to the risk of wildlife-vehicle collisions. The Project is now at a stage of delivery where progress towards implementation of the management plans must be fully entrenched and evolving with respect to continual improvement. Advice has been sought, but not yet received or implemented in response to the first recorded kangaroo mortality in the roadway and as a result, there have been no specific actions in response to risks observed including: - There are some areas of kangaroo funnelling potential with private driveways. Ecologist advice to reduce the risk so far as practicable is important to receive as a priority and implement. Decmil has requested this advice and followed up in response to kangaroo strike being recorded but at the time of the audit, no advice had been received nor implemented. - There has been no advice provided regarding wildlife signage and only one pre-existing road sign observed within the project area. No additional signs have been installed. - AD/DWS and variable speed limits have not yet been trialled nor implemented.		(Consequence: Moderate)

Table 3.3: Opportunities for Improvement

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
9	Environmental Management	- EPR EMF2 - Environmental Management Framework - Construction Environmental Management Plan	Section 7.1 of the Environmental Management Framework requires the Contractor to operate in accordance with an Environmental Management System, the purpose of which is to <i>ensure comprehensive and integrated identification and management of environmental risks and issues throughout the design and construction of the Project</i>. During the audit, the IEA observed that documentation completed to support risk management during Project delivery, such as Project Arborist (PA) and ecologist clearance reports, contained limited or inconsistent levels of detail regarding assessment outcomes, recommendations and personnel preparing the reports. Hence, there were observed deficiencies in the auditable trail in the provided documentation to demonstrate adequate assessment and management of environmental risks, and demonstration that works were being undertaken in accordance with relevant EPR requirements.	OFI	N/A
10	Surface Water	- EPR SW1 - Water Quality Management Plan	Section 8 of the Water Quality Management Plan (WQMP) indicates that Plenty Valley Christian College (PVCC) Wetland and Vaucluse Wetland will be monitored per Table 4, which states that turbidity, electrical conductivity, pH, dissolved oxygen and temperature will be monitored 'Weekly as a minimum', and/or 'When determined as required as a result of construction activities within 20m of surface waters such as ground disturbance/earthworks, vegetation clearing, chemical or oil spills' and/or 'after rainfall events where runoff is generated'. Water quality monitoring data provided to the IEA indicates that monitoring at the PVCC Wetland ceased in January 2026 and indicates that no monitoring events have taken place at the Vaucluse Wetland. The IEA were advised that that monitoring ceased as there are no construction activities occurring within 20 metres of surface waters, however the IEA's interpretation of the WQMP is that surface water monitoring is required 'weekly as a minimum' without additional triggers such as construction activity. Hence the water quality monitoring regime as implemented is not clearly articulated in the WQMP, and there is an opportunity to improve the clarity of monitoring requirements within the	OFI	N/A

No.	Topic	Criteria	Findings	Compliance Status	Environmental Risk Rating
			WQMP to enable a consistent approach to water quality monitoring during Project works.		

3.2 Status of Previous Compliance Audit Findings

This is the first Compliance Audit completed for the Yan Yean Road Upgrade Stage 2 – North Package scope of works. Hence there are no previous IEA compliance audit findings.

4 Statement of Limitations & Disclaimer

Nation Partners produces technical and advisory documents in the course of providing its services, which includes this document.

The contents of this document and any related findings reflect industry practice based on information available to Nation Partners at the time of creation and the scope of services, methodologies, and resources to which this document relates. Nation Partners has also relied upon information provided by the recipient and, except as expressly provided, has not carried out any separate verification of such information provided.

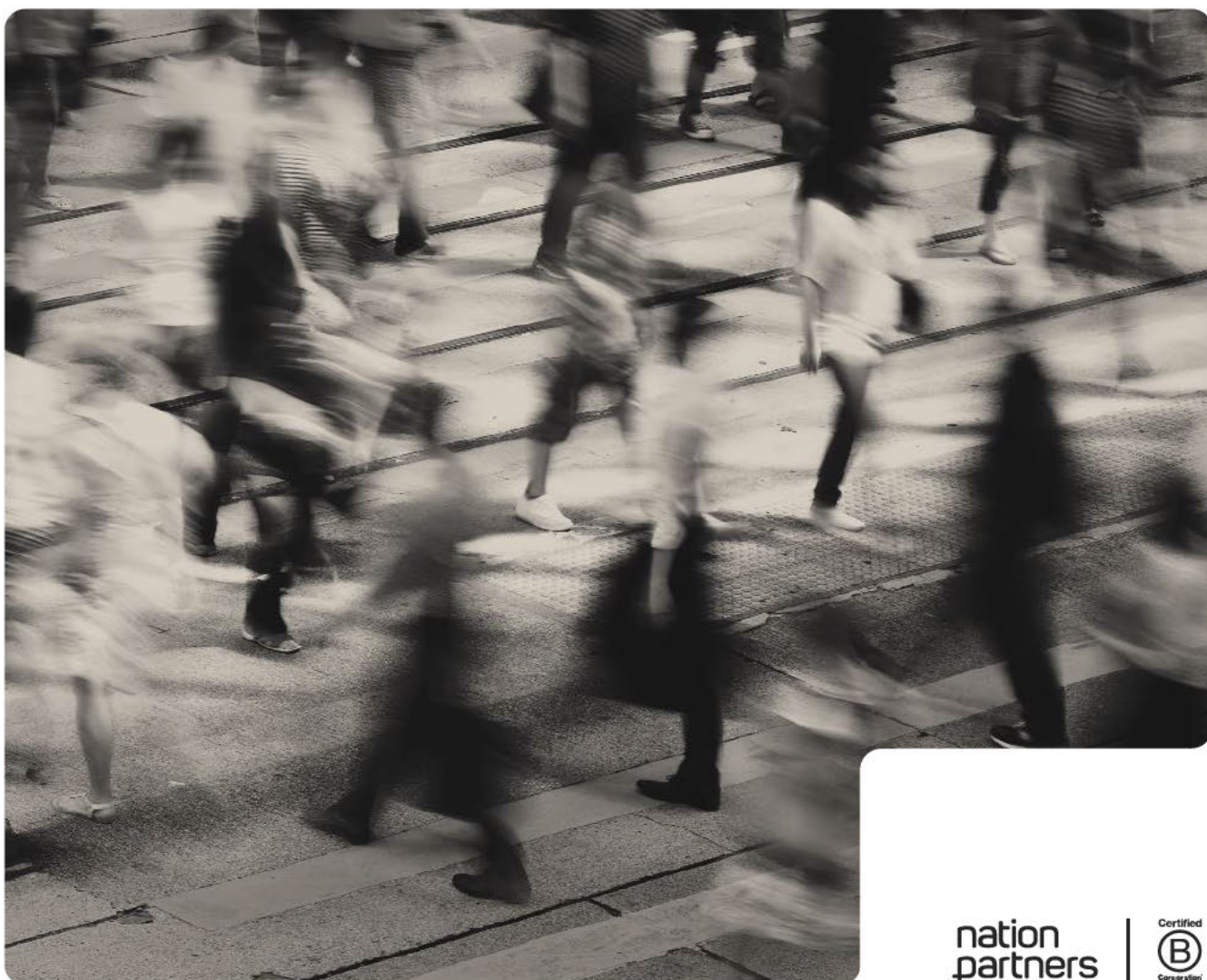
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Appendices



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A Compliance Audit Plan (18 and 19 February 2026)

Yan Yean Road Upgrade – Stage 2: Compliance Audit #1

18 - 19 February 2026

19 February 2026



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People and planet over profits.

We have always seen the need for business to play a key role in creating a new and more equitable economy for people and the planet. We have created a workplace where our team are empowered to shape the business and thrive. This delivers better outcomes for our clients and drives greater impact.

As a certified B Corporation, we meet the highest international governance, social and environmental standards. We direct 4% of all our profits towards climate solutions and the communities most impacted by climate change.

We acknowledge the Traditional Custodians of the land on which we work and live, and recognise their continuing connection to land, water, and community. We pay our respects to Elders past and present.

Document title

Yan Yean Road Upgrade – Stage 2:
Compliance Audit #1

Version

2.0

Date

19 February 2026

Prepared by

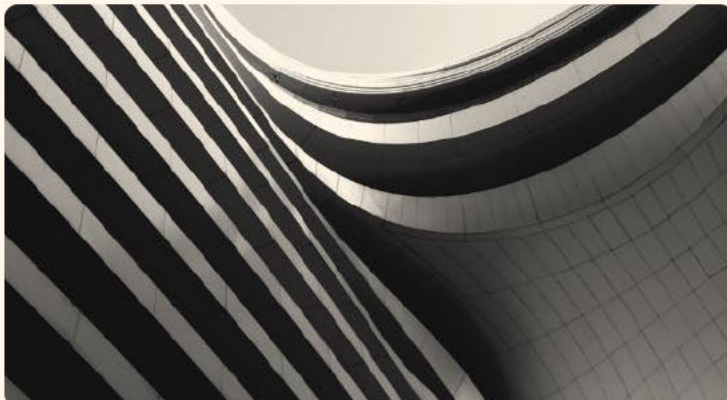
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Approved by

[REDACTED]

File name

NP24275 Yan Yean Audit#1 Audit Plan v2.0



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Yan Yean Road Upgrade Stage 2 – North Package: IEA Compliance Audit #1

Audit Details

Yan Yean Road Upgrade Stage 2 – Compliance Audit #1	
Audit date(s) and time	• Date: 18 and 19 February 2026 • Time: 09:00 – 17:00, 18 February 2026 and 09:00 to 17:00, 19 February 2026 • Closing Meeting 20 February 2025 via MS Teams
Audit Objective:	• Assess the Contractor's compliance with Yan Yean Road Upgrade Stage 2 Environmental Management Framework, project management plans and applicable EPR's • Assess that construction activities comply with: – Environmental duties under the <i>Environment Protection Act</i> 2017 and other relevant legislation. – Contractors CEMP and other relevant plans pertaining to site activities observed during the audit. • Evaluate whether the contractor has effective management measures and monitoring programs in place to appropriately mitigate risks to human health and the environment. • Evaluate responses to non-conformances, environmental incidents and complaints
Audit method	• Opening meeting - to confirm audit scope, objectives and methodology, and confirm arrangements relative to audit logistics and health and safety requirements • Site visit • Interviews with relevant personnel • EPR compliance records – sighting of a sample of evidence demonstrating evidence of compliance with EPR's • Contractor's construction documentation – sighting of a sample of evidence demonstrating implementation of the Contractors construction documentation as defined in the CEMP across the Project. • Closing meeting – to summarise audit outcomes including any audit limitations, provide the IEA's initial compliance observations, and allow time for queries or clarifications prior to completion of formal audit proceedings.
Audit Location(s)	<u>Day 1 18 February:</u> • Opening meeting – Site Compound, 850 Yan Yean Road Site Visits: • North Package: Zones A to D (Intersection Yan Yean Road and Bridge Inn Road) <u>Day 2 19 February:</u> • EPR Compliance discussions – MS Teams (Remote Only) <u>20 February:</u> • Closing Meeting – Via MS Teams on 20 February at 1:30pm

Audit Scope

Topic	Criteria
Environmental Management Framework	- EPRs EMF1 – EMF5 - CEMP - Site Environmental Plans
Aboriginal Cultural Heritage	- EPRs ACH1 - Heritage Management Plan
Arboriculture	- EPRs AR1 – AR4 - Tree Projection Management Plan
Ecology	- EPRs E1 – E7, E9 – E11 - Flora and Fauna Management Plan - Landscape Management Plan
Historic Heritage	- EPRs HH1 and HH2 - Heritage Management Plan
Noise and Vibration	- EPRs NV1 and NV2 - Construction Noise and Vibration Management Plan
Social	- EPRs S1 – S3 - Communications and Stakeholder Engagement Management Plan
Surface Water	- EPRs SW1 and SW2 - Water Quality Management Plan - Erosion and Sediment Control Management Plan
Vegetation	- EPR V1 - CEMP - Flora and Fauna Management Plan

Audit Team

Roles	Name	Mobile
1. Lead Auditor		
2. Auditor		
3. Specialist Auditor (Arboriculture)		Tree Dimensions
Health and Safety Considerations	- PPE – High Vis Shirt, Long trousers, hard hat, steel toe capped boots (laced), safety glasses, gloves - JSEA – prepared and to be followed	
Specific Logistical Considerations	Transport between sites managed by the IEA	

Audit Program

Day 1: 18 February 2026					
<i>Item.</i>	<i>Time</i>	<i>Scope</i>	<i>Location</i>	<i>Auditee</i>	<i>Auditor/s</i>
1.	9:00 – 9:30	Opening Meeting Introduction, Audit overview (Objectives, Scope, Criteria)	Site Compound (MS Teams option for remote participants)	-	1, 2, (3 – optional)
2.	9:30 – 11:00	Zone A site visit and transit	North package construction works site	Contractor/VIDA	1, 2, 3
3.	11:00 – 12:00	Zone B site visit	North package construction works site	Contractor/VIDA	1, 2, 3
<u>Lunch</u>	12:00 – 13:30	Lunch	-	-	-
4.	13:30 – 15:00	Zone C and Zone D site visit	North package construction works site	Contractor/VIDA	1, 2, 3
Day 2: 19 February 2026					
<i>Item.</i>	<i>Time</i>	<i>Scope</i>	<i>Location</i>	<i>Auditee</i>	<i>Auditor/s</i>
1.	09:00 – 09:15	Introductions, recap of Day 1, audit plan for Day 2	MS Teams only	-	1, 2
2.	09:15 – 10:15	EMF EPRs Complaints and Incidents	MS Teams only	Contractor/VIDA	1, 2
3.	10:15 – 11:00	Aboriginal Cultural Heritage Historic Heritage	MS Teams only	Contractor/VIDA	
4.	11:00 – 11:15	Break	-	-	-
5.	11:15 – 12:15	Arboriculture	MS Teams only	Contractor/VIDA	1, 2, 3
6.	12:15 – 13:00	Ecology Vegetation	MS Teams only	Contractor/VIDA	1, 2
7.	13:00 – 14:00	Lunch	-	-	-
8.	14:00 – 14:45	Noise and Vibration	MS Teams only	Contractor/VIDA	1, 2
9.	14:45 – 15:30	Surface Water	MS Teams only	Contractor/VIDA	1, 2
10.	15:30 – 16:15	Social	MS Teams only	Contractor/VIDA	1, 2
11.	16:15 – 17:00	Internal Debrief (IEA)	-	-	1, 2, 3

Closing Meeting: 20 February 2026

<i>Item.</i>	<i>Time</i>	<i>Scope</i>	<i>Location</i>	<i>Auditee</i>	<i>Auditor/s</i>
1	1:30pm – 2:00pm	Closing meeting	MS Teams (Virtual only)	Contractor/VIDA	1, 2, (3 – optional)

B EPRs Audited

EPR Code	Environmental Performance Requirement	Relevant to YR2 North Package	Reporting period audited
Aboriginal Cultural Heritage (ACH)			
ACH1	Cultural Heritage Management Plan	Yes	Audit #1
Air Quality (AQ)			
AQ1	Air quality management	Yes	-
Arboriculture (AR)			
AR1	Avoid and minimise tree removal	Yes	Audit #1
AR2	Tree Protection Management Plan	Yes	Audit #1
AR3	Doreen River Red Gums	Yes	Audit #1
AR4	Reinstatement	Yes	Audit #1
Business (B)			
B1	Avoid and minimise business disruption	Yes	-
B2	Implement a Trader Engagement Plan	Yes	-
B3	Business access and car parking	Yes	-
B4	Doreen Neighbourhood Activity Centre	Yes	-
Contaminated Land (CL)			
CL1	Spoil management	Yes	-
Ecology (E)			
E1	Native vegetation	Yes	Audit #1
E2	Native vegetation – Bridge Inn Road Intersection	Yes	Audit #1
E3	Native vegetation – tree protection zones	Yes	Audit #1
E4	Native vegetation – no-go zones	Yes	Audit #1
E5	Flora and fauna – design	Yes	Audit #1
E6	Flora and fauna – construction	Yes	Audit #1
E7	Swift Parrot Management Plan	Yes	Audit #1
E8	Matted Flax-lily	No	-
E9	Strategic revegetation	Yes	Audit #1
E10	Avoid introduction or spread of weeds and pathogens	Yes	Audit #1
E11	Operational maintenance	N/A	-
Environmental Management Framework (EMF)			
EMF1	Environmental Management System	Yes	Audit #1
EMF2	Environmental Management Plans	Yes	Audit #1
EMF3	Complaints management	Yes	Audit #1
EMF4	Environmental compliance	Yes	Audit #1
EMF5	Operation and maintenance	N/A	-
Groundwater (GW)			

EPR Code	Environmental Performance Requirement	Relevant to YR2 North Package	Reporting period audited
GW1	Groundwater management	Yes	-
Historic Heritage (HH)			
HH1	Historic heritage	Yes	Audit #1
HH2	Archaeological discovery protocol	Yes	Audit #1
Land Use (LU)			
LU1	Minimise land use impacts	Yes	-
LU2	Land acquisition	Yes	-
LU3	Bridge Inn Road / Yan Yean Road Surplus Land Use Plan	Yes	-
Landscape Value (LV)			
LV1	Implement the Landscape Strategy	Yes	-
LV2	Replanting and reinstatement of vegetation	Yes	-
Noise and Vibration (NV)			
NV1	Construction noise management	Yes	Audit #1
NV2	Achieve traffic noise objectives	Yes	Audit #1
Social (S)			
S1	Social access and amenity	Yes	Audit #1
S2	Implement a Communications and Stakeholder Engagement Plan	Yes	Audit #1
S3	Reinstatement of access	Yes	Audit #1
Surface Water (SW)			
SW1	Surface water management	Yes	Audit #1
SW2	Design to minimise surface water impacts	Yes	Audit #1
Traffic Planning			
TP1	Optimise design for active and road users	Yes	-
TP2	Traffic Management Plan	Yes	-
TP3	Redesign the Bridge Inn Road intersection	Yes	-
TP4	Design the Project at the section of Yan Yean Road	No	-
Vegetation (V)			
V1	Avoid and minimise impacts on vegetation	Yes	Audit #1
Notes			
No – EPR is not relevant to the works package			
N/A – EPR is relevant to the works package but not yet triggered or not relevant to works assessed during the audit			

C Documents reviewed

Topic	Documents Reviewed
Environmental Management Framework	• 1848-Y100-DEC-ENV-PLA-0012.01.IFU • 1848-Y100-DEC-ENV-PLA-0013.00.IFU • 1848-Y100-DEC-ENV-PLA-0014.02.IFU • 1847-Y100-DEC-ENV-REG-0002 EPR Master Register 2026.02.06 • 1848-Y100-DEC-ENV-REG-0001_B ERA • Audits_AUD-0000986 • Incident_INC-0002166 • Incident_INC-0002185 • Incident_INC-0002208 • Inspections_INS-0019259_20251127 • Inspections_INS-0019910_20260119 • Inspections_INS-0019928_20260116 • Yan Yean Rd Daily Pre-Start Sign In 2025.10.20 • Yan Yean Rd Online Induction V1 • Yan Yean Road Report - December 2025 • Yan Yean Road Report - January 2026 • SiteHive_YY2 - Dust Monitoring Report • 251119 - Property Developer Stockpiling Soils in Project Area • 251217 - Snake Runover by Vehicle • 251221 - Pooling of Water - Zone C • 260123 - Deceased Male Kangaroo • 260203 - Tussock Skinks - Zone D • 260217 - Bon Matte IBC Puncture - Site Compound • 250428 Capital Signs Gen Env Toolbox • 250819 NDD Geo Contam Gen Env Toolbox • 251020 Safety Barriers Gen Env Toolbox • 251020 Site Establishment Gen Env Toolbox • 251118 Site Boundary Fencing Gen Env Toolbox • 260203 Tussock Skink • 260210 Kangaroos • Yan Yean 2 - TPMP Info sheet - 28-08-2025 • 1848-Y100-DEC-ENV-PLA-0012_02 SEP Zone A • 1848-Y100-DEC-ENV-PLA-0013_01 SEP Zone B • 1848-Y100-DEC-ENV-PLA-0014_03 SEP Zone C • 1848-Y100-DEC-ENV-PLA-0016_00 SEP Zone E • Memo Style - Legislation Update • Personnel Induction Records 20260218 • SAI360 Environmental Inspections 20260218 • SAI360 Incidents 20260219
Aboriginal Cultural Heritage	• 250905 - CHMP Compliance Inspection 1 • 250905 - CHMP Compliance Inspection • 251205 - CHMP Compliance Inspection 1 • 251205 - CHMP Compliance Inspection • CHMP Induction Register • Surface Salvage – Kurrak Road to Bridge Inn Road

Topic	Documents Reviewed
	• Surface Salvage Sign On Sheet 26.11.2024 • 20241016 - CHMP 15169 Notification of Commencement of Activity (2) • 20241017 - CHMP 15169 RAP confirm Notification of Commencement of Activity • 20260210 - CHMP 20756 Condition 3 Inspection (1 of 2) • 20260210 - CHMP 20756 Condition 3 Inspection (2 of 2) • 260113 Email - Notification of Commencement (Full) • CHMP 15757 665 Bridge Inn Rd Induction Booklet • CHMP 15757 Amended BIR Upgrade • CHMP 20756 Report_APPROVED • CHMP_15169_Finalv4_CEM_21.12.2021
Arboriculture	• 251020 General Env Awareness • Toolbox 260114 Tree Removal • 251216 Tree Protection Measures inspection for DRRGs • 251216 Yan_Yean_Road_Upgrade_Stage_2 Trees 1264 and 1265 inspection • 260116 - Arb Report DRRG Fence 920A Yan Yean Road Doreen • 251207 - PROGRAMA - GNC-1848-MRP-GNC-000105 - Tree Clearing • 1848-Y100-DEC-ENV-REG-0005 Timber Reuse Returnable Schedule Jan 2026 • 251216 Yarrambat Golf Course - PTA DR -FINAL • 260116 - Arb Report DRRG Fence 920A Yan Yean Road Doreen • 260129 DRRG Fence Audit and 5639 Cut • 260216 Watermain Tree #1270 • 260216 Watermain Tree #5539 • Watermain Tree #1270 • Watermain Tree #5539 • FW_SEC_OFFICIAL_RE_Yarrambat Park GC - Stage 1 Works • JM Tree Data Table & Reuse
Ecology / Vegetation	• Ecologist report_YYP_05122025 • Ecologist Report_YYP_28112025 • HPRF 251124 - General Stripping – signed • HPRF 251204 - Tree Removal - Zone C – signed • HPRF 260114 - Tree Removal - Zone A-B-D – signed • PDV 251208 Tree Removal Permit - Zone C – signed • PDV 260114 Tree Removal Permit - Zone A-B-D – signed • YY2 - Fauna Interaction Register • YYRP preclearance and recommendations_Zone C 081225 • 12813-0011-WJJ-ENV-RPT-DOCX-0002-AAA_REV03 Existing Ecological Conditions • 12813-0011-WJJ-ENV-RPT-DOCX-0002-AAA_REV03 • 1848-Y100-BGE-CVR-DRG-0000.C.FD • 1848-Y100-BGE-CVR-RPT-0001.00.IFC • 251014 - PROGRAMA - GNC-1848-MRP-GNC-000054 - Site Establishment & Safety Barriers • 260210 Kangaroo Toolbox • 260210 Prestart Sign On • EVCs - FFMP V Monthly Report • Fauna Sensitive Lighting (correspondence) • Plant Mobilisation - EQ-0004840 • Plant Mobilisation - EQ-0004870 • Unexpected Finds Alert_ Tussock Skink

Topic	Documents Reviewed
	• Wildlife Act Authorisation_2025 • Wildlife Act Authorisation_2025_28 • Zone D_YYRP preclearance and recommendations
Historic Heritage	• ENDORSED - River Red Gums (2) 25 Doctors Gully Road Heritage Impact Statement, October 2025
Noise and Vibration	• 225-0803-95 - PC Survey - Pre Construction - 920 Yan Yean Road Doreen • 225-0803-96 - PC Survey - Pre Construction - 920a Yan Yean Road Doreen • Decmil Noise Prediction Report Form - Barrier Install v2 • Decmil Noise Prediction Report Form - Barrier Install • SiteHive_YY2 - Vibration Monitoring Report • SiteHive-HEX-001040-Calibration-Certificate • SiteHive-HEX-001202-Calibration-Certificate • SiteHive-VIB-000082-Calibration-Certificate • 1848-Y100-DEC-ENV-RPT-0002 Construction Baseline Noise Monitoring Report • 1848-Y100-DEC-ENV-RPT-0011 CNVIA • 251008 - Works Notification - YY2 North barrier installation night works • 251016 Decmil-EPA OOHWS Notification • OOHWS Noise Report - Safety Barriers • OOHWS TeamBinder - Approval Attachments • OOHWS TeamBinder - Approval
Social	• 20250813 - Works Notification - YY2 North barrier installation night works • 20250813 - Works Notification - YY2 North Early Works • 20251113 - Works Notification - YY2 North major works commencement • 9583 BBR YYRU S2 Construction Update_FA • 9583 BBR YYRU S2 Construction Update_FA • FW Yan Yean Rd Upgrade - Emergency Response Workshop Follow-up • Register of engagement with key stakeholder groups • YY2 Lease agreement site compound - Final – signed • YYR2-Actions-&-Complaints
Surface Water	• 251021 Permit to Dewater - Site Estab - Farmers Dam – signed • YY2 Water Quality Monitoring Register